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| FORM PTO/SB/08A/B (10-01)<br>Substitute for PTO-1449A/B   | Attorney Docket Number | 52481/DBP/T360           |
| <b>INFORMATION DISCLOSURE</b><br><br><b>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) | Application Number     | N/A                      |
|   | Filing Date            | May 19, 2004             |
|   | Applicant(s)           | Michiaki Hayashi, et al. |
|   | Group Art Unit         | N/A                      |
|   | Examiner Name          | N/A                      |

| U.S. PATENT DOCUMENTS |                       |   |                                |                  |
|-----------------------|-----------------------|---|--------------------------------|------------------|
| EXAMINER INITIALS     | Cite No. <sup>1</sup> | DOCUMENT NUMBER<br>Number - Kind Code <sup>2</sup> (If Known) | Publication Date<br>MM-DD-YYYY | Name of Patentee |
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| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |                       |
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| EXAMINER INITIALS        | Cite No. <sup>1</sup> | FOREIGN PATENT DOCUMENT<br>Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup><br>(If Known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | T <sup>6</sup><br>(✓) |
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| AB                |                       | OOI, ET AL., "40-Gb/s WDM Transmission With Virtually Imaged Phased Array (VIPA) Variable Dispersion Compensators" Journal of Lightwave Technology, Vol. 20, No. 12, December 2002, pp. 2196-2203  |
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